

ASSISTANT COMMISSIONER OF PATENTS  
U.S. PATENT & TRADEMARK OFFICE  
WASHINGTON, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of inventor(s):

Marc Paye

For (title):

Zinc Oxide Containing Surfactant Solution



**1. Type of Application**

This new application is for a(n) (check applicable):

- ☒ Original  
☐ Design  
☐ Plant  
☐ Divisional  
☐ Continuation  
☐ Continuation-in-part (CIP)

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) as provided in the attached combined Declaration and Power of Attorney.

**3. Benefit of Prior U.S. Provisional Application(s) (35 U.S.C. 119(e))**

- ☐ The new application being transmitted claims the benefit of prior U.S. Provisionals application(s) as provided in the attached Combined Declaration and Power of Attorney.

**4. Papers Enclosed Which are Required for Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

15 Pages of specification

2 Pages of claims

1 Pages of Abstract

       Sheets of drawing

- ☐ formal  
☐ informal

**5. Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 CFR 1.98)
- ☐ Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

**6. Declaration or oath**

- ☒ Enclosed executed by:
- ☒ inventor(s)
  - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
    - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
    - ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached.
  - ☐ Not Enclosed.

**7. Assignment**

- ☒ An assignment of the invention to Colgate-Palmolive Company
- ☐ is attached. A separate "Recordation Form Cover Sheet - PTO Form 1595" is also attached.
  - ☒ will follow.

**8. Certified Copy**

Certified copy(ies) of application(s)

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|           |              |         |
|-----------|--------------|---------|
| (country) | (appln. no.) | (filed) |
|-----------|--------------|---------|

from which priority is claimed

- ☐ is (are attached).
- ☐ will follow.

9. **Fee Calculation (37 CFR 1.16)**

A. **Regular application**

| CLAIMS | (1) FOR                                   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE   | (5) CALCULATIONS |
|--------|---|------------------|------------------|------------|------------------|
|        | TOTAL CLAIMS                              | 5- 20 =          | 0                | X \$18.00= | \$0              |
|        | INDEPENDENT CLAIMS                        | 2- 3 =           | 0                | X \$78.00= | \$0              |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) |                  |                  | + \$260.00 |                  |
|        |   |                  |                  | BASIC FEE  | \$770.00         |
|        |   |                  |                  | TOTAL =    | \$770.00         |

- Amendment canceling extra claims enclosed.
- Amendment deleting multiple-dependencies enclosed.
- Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$770.00

B. **Design application**

(\$310.00 - 37 CFR 1.16(f))

Filing Fee Calculation \$

C. **Plant application**

(\$510.00 - 37 CFR 1.16(g))

Filing Fee Calculation \$

10. **Small Entity Statement(s)**

- Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is (are) attached.
- Filing Fee Calculation (50% of A, B or C above)

11. **Request for International-Type Search (37 CFR 1.104(d)) (complete, if applicable)**

- Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

12. **Fee Payment Being Made At This Time**

- Not Enclosed
- No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)
- X Enclosed
- basic filing fee \$770.00
- Recording assignment (\$40.00; 37 CFR 1.21(h)) \$

|   |  |                        |
|---|--|------------------------|
| — | petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h)) | \$ _____               |
| — | for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))   | \$ _____               |
| — | processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))  |                        |
| — | fee for international-type search report (\$40.00; 37 CFR 1.21(e)).  | \$ _____               |
|   | <b>Total fees enclosed</b>   | <b><u>\$770.00</u></b> |

**13. Method of Payment of Fees**

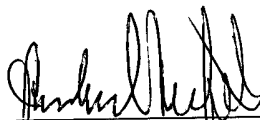
— Check in the amount of \$ \_\_\_\_\_ is enclosed.

X Charge Account No. 03-2455 in the amount of \$770.00. A duplicate of this transmittal is attached.

**14. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 et seq which may be required (including the full fee if no check is attached), or credit any overpayment to, Deposit Account No. 03-2455. This is a "general authorization" under 37 CFR 1.25(b), except that no automatic debit of the issue fee upon allowance is authorized, except the Commissioner may debit the Deposit Account for any deficiency in or inadvertent omission of an issue fee which is submitted or attempted to be submitted after issuance of a Notice of Allowance. This letter is being submitted in duplicate.

Respectfully submitted,



Richard E. Narnfeldt  
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Enclosures

**In The United States Patent and Trademark Office**

Applicant: Marc Paye

U.S. Serial No.: Not Yet Assigned

Art Unit:

Filed:

Examiner:

For: Zinc Oxide Containing Surfactant Solution

Mail Stop New Application  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EL806922716US

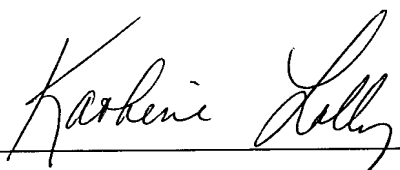
Date of Deposit: *October 9, 2003*

I hereby certify that the following attached paper or fee

1. Application
2. Combined Declaration and Power of Attorney
3. New Application Transmittal
4. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Katherine Lally

  
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